

Progress review criteria for awarding ECTS credits in the PhD thesis module (180 ECTS) – Doctoral Studies Council of Humanities

	Component of the PhD thesis module	PhD thesis (180 ECTS credits in total)							
		Monograph		Article-based dissertation					
		Minimum required	Maximum credits	Minimum required	Maximum credits				
	TOTAL ECTS credits:	136	180	136	180	Submission requirements	Guidelines for assessment	Observations	
1	Student's research plan which must cover the entire period of doctoral studies and include a methodology plan for the PhD thesis	6	10	0	10	The student submits it in written form together with the supervisor's endorsement and estimation of ECTS credits. To be presented and defended either at the doctoral seminar or at the progress review meeting	The progress review committee assesses the component and awards ECTS credits on the basis of the supervisor's assessment.	The student's research plan for the PhD thesis is more nuanced and fully developed than the outline (research plan project) submitted by the student before admission. The head of the doctoral study programme develops more detailed requirements for the research plan.	
2	An overview of the literature to be used as the theoretical foundation of the PhD thesis	10	20	10	20	The student submits in written form the list of analysed books and most followed journals together with the supervisor's endorsement and estimation of ECTS credits.	The progress review committee assesses the component and awards ECTS credits on the basis of the supervisor's assessment	The student submits in written form to the supervisor summaries/overviews that synthesize the materials used.	
3	Development of the research instruments and the methodological principles for the PhD study and collecting the data for the PhD thesis	0	20	0	20	The student submits it in written form together with the supervisor's endorsement and estimation of ECTS credits. To be presented and defended either at the doctoral seminar or at the progress review meeting	The progress review committee assesses the component and awards ECTS credits on the basis of the supervisor's assessment: max 10 ECTS credits for development of the research instruments and the methodological principles for the PhD study and max 10 ECTS credits for collecting the data for the PhD thesis		
4	Article in ETIS (Estonian Research Information System) categories 1.1, 1.2 or 3.1 accepted for publication (constituting a part of the dissertation if the dissertation is article-based) – up to 30 ECTS credits	30/0*	30/30*	90/60*	120/60*	The student submits a copy of the article	The text of the article reviewed by the supervisor: up to 10 ECTS credits; the article in 'submitted' status: up to 20 ECTS credits – ECTS credits awarded earlier; 'under review' status + 5 ECTS credits; 'finally accepted' status + 5 ECTS credits. In total, depending on the article's publishing status, 30 ECTS credits may be awarded cumulatively.		
5	Chapter/division of a PhD thesis in the monograph format	70/30*	120/60*	0	0	The student submits the text of the chapter of the monograph	The text of the chapter/division of the PhD thesis (at least 20 pages) reviewed by the supervisor: up to 20 ECTS credits. Chapter/division presented and reviewed at the doctoral seminar: + 10 ECTS credits In total, for one chapter of a PhD thesis in the monograph format 30 ECTS credits may be awarded cumulatively.	An un-reviewed chapter of a monograph is deemed to be equal to an article in the 'submitted' status in the case of an article-based dissertation	
6	In case of artistic research the student presents to the evaluation committee elements his/her artistic work	60*	100*	60*	90*	The student submits the detailed plan and report of completion of the plan in writing together with the supervisor's endorsement and estimation of ECTS credits. To be presented and defended either at the doctoral seminar or at the progress review meeting.	The committee evaluates following points of the artistic work: - If the project is innovative/experimental in terms of form? - If the project is good at opening up social or cultural reality in a different modality? - If the project is innovating methods of production? - If the project is expected to be of high artistic value?	By the end of the first year of study, the creative work has undergone a conceptual preparatory phase. The doctoral students present some initial parts of the creative thesis, such as the creative project collage, a list of possible participants, initial scenarios, etc. By the end of the second year all necessary preparatory work has been carried out in the modality(s) planned for the creative work. The doctoral student shall provide relevant evidence. By the end of the third year of study, the creative phase of the creative work has been completed or is near completion; post-processing is carried out. The doctoral student shall provide relevant evidence. By the end of the fourth year, the creative work has been completed and made available to an international audience.	
7	Analytical overview of the PhD thesis	0	0	20	30	The student submits it in written form together with the supervisor's endorsement and an estimation of ECTS credits.			
8	Other research publication (lower ETIS category)/ Other creative work with international partnership*	0/0*	10/10*	0	10/10*	The student submits a copy of the article./ The student submits photo or video materials about the creative work.	One published research publication equals up to 5 ECTS credits, depending on the ETIS category of the publication./ The creative work has been presented on a international festival: 3–5 ECTS. The creative work has been presented on a local festival: 2–3 ECTS.	In the case of a PhD thesis in the monograph format, the other research publications must be related to the topic of the PhD thesis in a minimum required amount of ECTS credits/ The creative work has to be part of the students PhD research work or connected with its theme.	
9	Conference presentation	6	20	6	20	The student submits a copy of the conference programme and the abstract of the presentation or a copy of the poster presentation	Oral presentation at an international conference:3–5 ECTS credits. Poster presentation at an international conference: 2–3 ECTS credits. Presentation or poster presentation at an Estonian conference: 2 ECTS credits.	The conference presentation includes the publication of the abstract (as a rule, the submission of an abstract is required before the presentation can be accepted). The publication of the full text as a separate paper is taken into account separately in the category of other research publications	

10	Presentation at the doctoral seminar	0	10	0	10	The student submits the text of the presentation and/or slides which have been orally presented at the doctoral seminar	2–3 ECTS credits	Doctoral seminars which are not considered as core or individual courses in the doctoral programme
11	Reviewing a presentation at the doctoral seminar					Written review of a presentation at the doctoral seminar	1–2 ECTS credits	Reviews which are not considered as core or individual courses in the doctoral programme
12	Participation or speaking at seminars related to the student's specialism outside the university					The student submits the text of his/her presentation or an analytical overview (2–3 pages) of the discussion in the seminar together with observations of relevance to the student's PhD thesis	1–2 ECTS credits	
13	Preliminary examination before final defence	5	5	5	5	Pursuant to Regulations for Doctoral Studies and Defence of PhD Theses		If the student is required to retake the preliminary examination next year, less than 5 ECTS credits will be awarded
14	Final defence	5	5	5	5	Pursuant to Regulations for Doctoral Studies and Defence of PhD Theses		The progress review committee must take into account that the amount of ECTS credits cumulatively awarded to the student may not exceed 175 ECTS credits before the final defence

* In case of a creative doctoral thesis

Please Note: The minimum and maximum values cover the entire period of doctoral studies. The university monitors the student's credits both in terms of credits awarded in a year and credits awarded cumulatively throughout the entire study period. The sum of the minimum values of components is smaller than the total credits to be awarded for the PhD thesis (180 ECTS credits), since one component may be at its minimum value, but the others may be at their maximum value at the same time.